

UTILITY PATENT APPLICATION TRANSMITTALAttorney Docket No. 8013-1155-1

(Only for new nonprovisional applications under 37 CFR 1.53(b))

U.S.P.T.O.

19270 10/7/19972 USPTO

112003

To the Assistant Commissioner of Patents

Transmitted herewith for filing is the patent application of:

Inventors: Gaku HARADA, Toshihiko NISHIYAMA, Masaki FUJIWARA, Shinako OKADA, and Masato KUROSAKI

This application is a continuation division continuation-in-part of co-pending Application No. 09/528,711, filed on **March 20, 2000**, the entire contents of which are hereby incorporated by reference.Title: **ELECTRODE USING IMPROVED ACTIVE MATERIAL FOR BATTERY AND CAPACITOR**

Enclosed are:

36 Pages of Specification containing 18 claims

5 Sheets of formal Drawings Abstract of the Disclosure

Submission of English translation of prior provisional application under 37 CFR 1.78(a)(5)

Newly executed Oath or Declaration/Power of Attorney

Copy of Oath or Declaration/Power of Attorney from a prior application (continuation/divisional)

- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- Deletion of inventor(s). A signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63 (d)(2) and 1.33(b))

Applicant claims small entity status under 37 C.F.R. § 1.27

Application Data Sheet under 37 C.F.R. § 1.76

Assignment Papers (cover sheet & document(s))

An assignment to **NEC TOKIN CORPORATION** for parent application no. 09/528,711 was recorded on July 3, 2002 at Reel 013056, Frame 0025

Information Disclosure Statement (IDS) w/PTO-1449 - Copy of IDS citations

Preliminary Amendment

Itemized Return Receipt Postcard

Certified Copy of Priority Document(s)

Priority of **Japan** application No. 11-076573 filed on March 19, 1999 is claimed under 35 U.S.C. § 119. See attached copy of the Letter claiming priority filed in the prior application on November 13, 2000.

CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- Computer Readable Form (CRF)
- Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or ii. paper
 - Statement verifying identity of above copies

Other Attachment: _____

UTILITY PATENT APPLICATION TRANSMITTAL
(continued)

Attorney Docket No. 8013-1155-1

If a CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part of Prior Appln. # 09/528,711

Prior Application Information: Examiner: Carol Diane Chaney Tech Center: 1745

--This application is a continuation of co-pending Application No. 09/528,711, filed on March 20, 2000, the entire contents of which are hereby incorporated by reference.--

--This application is a of co-pending Application No., filed on. Application No. is the national phase of PCT International Application No. filed on under 35 U.S.C. § 371. The entire contents of each of the above-identified applications are hereby incorporated by reference.--

CORRESPONDENCE INFORMATION

00466

PATENT TRADEMARK OFFICE

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The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	SMALL ENTITY FEE	LARGE ENTITY RATE	FEE
BASIC FEE				\$385.00		\$770.00
TOTAL CLAIMS	<u>18 - 20 =</u>	<u>0</u>	\$9.00	\$____	\$18.00	\$0.00
INDEPENDENT CLAIMS	<u>1 - 3 =</u>	<u>0</u>	\$43.00	\$____	\$86.00	\$0.00
MULTIPLE DEPENDENT CLAIM FEE			\$145.00	\$____	\$290.00	\$0.00
TOTAL SMALL ENTITY				\$____	TOTAL LARGE ENTITY	
					\$770.00	

- A Check in the amount of **770.00** to cover all fees is attached.
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.

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AJP/psf

Date: November 20, 2003